

Referents form

Company name	<input type="text"/>
Number Chamber of Commerce	<input type="text"/>
Case number*	<input type="text"/>

* Not mandatory.

This form is an annex to the license application and the notification of a prospective appointment of a day-to-day policymaker or member of the supervisory board. This form must be filled in if the day-to-day policymaker or member of the supervisory board has to be assessed on their suitability.

Three referents must be named. Referents must meet the following conditions:

- The referents must be jointly capable of providing a substantive picture of the knowledge, experience and professional conduct of the prospective appointee over the past ten years. The referents must preferably be persons to whom the prospective appointee was accountable to in his former position or positions. The referents should, of course, be willing to provide information and be available to do so.
- One of the referents is the last immediate superior or a fellow management or supervisory board member of the person being assessed.
- The referents must have a relevant professional background, preferably – and including at least one – from the financial sector. If possible, at least one of the referents works for a company other than the company of the person being assessed.
- Referents must be capable of being sufficiently independent. Referents may never be direct or indirect relatives to the third degree or a spouse, cohabiting partner or confidant. The referents must be prepared to provide information.

If no referent can be found who meets the above mentioned conditions, other referents may be named. In this case, an explanation must be provided (in an enclosure). If the named referent fails to meet the required criteria or provides insufficient insight into the prospective appointee, a new or extra referent will be requested by the AFM.

Personal information appointee

First name(s)	<input type="text"/>	Initial(s)	<input type="text"/>
Prefix(es)	<input type="text"/>	Last name	<input type="text"/>

Referent 1

First name(s)	<input type="text"/>	Initial(s)	<input type="text"/>
Prefix(es)	<input type="text"/>	Last name	<input type="text"/>
Position	<input type="text"/>	Sex	<input type="text" value="M"/> <input type="text" value="F"/>
Company name	<input type="text"/>		
What is the relation with the referent?	<input type="text"/>		
Telephone number	<input type="text"/>	E-mail	<input type="text"/>

Referent 2

First name(s)	<input type="text"/>	Initial(s)	<input type="text"/>
Prefix(es)	<input type="text"/>	Last name	<input type="text"/>
Position	<input type="text"/>	Sex	<input type="text" value="M"/> <input type="text" value="F"/>
Company name	<input type="text"/>		
What is the relation with the referent?	<input type="text"/>		
Telephone number	<input type="text"/>	E-mail	<input type="text"/>

Referent 3

First name(s)	<input type="text"/>	Initial(s)	<input type="text"/>
Prefix(es)	<input type="text"/>	Last name	<input type="text"/>
Position	<input type="text"/>	Sex	<input type="text" value="M"/> <input type="text" value="F"/>
Company name	<input type="text"/>		
What is the relation with the referent?	<input type="text"/>		
Telephone number	<input type="text"/>	E-mail	<input type="text"/>