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| **Report Form for the Implementation of Article 3 of the Regulation on Supervision under the Sanctions Act 1977** | **A purple letter a  Description automatically generated** |

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|  | Details of the Reporting Institution |  |
|  | (Name, address, location, and contact person) |  |
|  | Which EU Regulation, Dutch sanctions regulation, or UN resolution is applicable? |  |

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| --- | --- | --- | --- |
|  |  | **Details in accordance with the regulation** | **Details in accordance with the institution's records** |
|  | Name of the person/entity to be reported |  |  |
|  | Alias |  |  |
|  | Address |  |  |
|  | Place of residence |  |  |
|  | Place of birth |  |  |
|  | Date of birth |  |  |

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| 1. Date of the Sanction Hit |  |
| 1. Date on which you identified the Sanction Hit |  |
| 1. Action taken by the reporting institution | Financial assets have been frozen  The prohibited financial service has not been provided or has been discontinued  Other, namely: |
| 1. Specification of frozen assets, economic resources, and/or services provided. In the case of frozen assets, please include at least: (1) the date the assets were frozen, (2) the value of the assets at the time of freezing, (3) the current value of the assets, (4) the applicable currency. You may also include other comments here. |  |
| You are required to submit this form with copies of identification documents, extracts from the Chamber of Commerce, or any other document that can establish the identity of the relationship (directly or indirectly), provided such data is available. Furthermore, relevant information (such as system statements and other supporting documents) regarding the frozen assets, economic resources, and/or services should be included.  In addition to the reporting obligation under the Regulation on Supervision under the Sanctions Act 1977, there are also reporting obligations under European sanctions regulations. You must verify whether such a reporting obligation applies to your hit. You can consult the European Regulation for this purpose. For the reporting obligation under Article 8 of Regulation (EU) 269/2014, follow the instructions of the [Ministry of Finance](https://www.rijksoverheid.nl/onderwerpen/oorlog-in-oekraine/sancties-tegen-rusland-en-belarus-wit-rusland/melden-tegoeden-van-russen-en-russische-bedrijven-op-sanctielijst) and use the published reporting format for this purpose  You can email this form (including attachments) to [meldingsanctiewet@afm.nl](mailto:meldingsanctiewet@afm.nl) via [Cryptshare](https://www.afm.nl/en/contact/veilig-bestanden-uitwisselen) (also refer to the guide on the right side of the page). | |

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